

12/12/01

J1135 U.S. PTO

Atty Dkt No. IDD 5657 CIP3  
(1417Y P 686)

PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re U.S. Utility Patent Application of:  
 James E. Kipp, Joseph Chung Tak Wong,  
 Mark J. Doty, Jane Werling,  
 Christine L. Rebbeck, and Sean Brynjelsen

For: METHOD FOR PREPARING  
 SUBMICRON PARTICLE  
 SUSPENSIONS

Mailed: December 12, 2001

## Certificate of Express Mailing

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as Express Mail, Post Office to Addressee, in an envelope addressed to: Assistant Commissioner for Patents, BOX PATENT APPLICATION, Washington, D.C. 20231.

*Anthony J. Spink*  
 Signature of Person Making Deposit

EL831512267US  
 Express Mail Label No.

December 12, 2001  
 Mailing Date

J11046 U.S. PTO  
 10/021692  
 12/12/01

PATENT APPLICATION TRANSMITTAL

BOX PATENT APPLICATION  
 Assistant Commissioner for Patents  
 Washington, D.C. 20231

Dear Sir:

Transmitted herewith for filing under 35 U.S.C. §111(a) and 37 C.F.R. 1.53(b) is a new utility continuation-in-part patent application for a "Method For Preparing Submicron Particle Suspensions."

This application is a continuation in part of application serial No. 09/953,979 filed September 17, 2001 which is a continuation in part of application No. 09/874,637 filed on June 5, 2001, which claims priority from provisional application serial number 60/258,160 filed December 22, 2000, and further is a continuation in part of application docket no. IFT 5657 CIP 2 (1417Y P606) filed on October 19, 2001, all of the above-mentioned applications are incorporated herein by reference and made a part hereof.

Enclosed are:

- X 49 pages of Specification, including 128 Claims and an Abstract  
None Sheets of Drawings  
X **Unexecuted Declaration and Power of Attorney**

The filing fee has been calculated as shown below:

			Small Entity		or	Other Than A Small Entity	
For:	No. Filed	No. Extra	Rate	Fee		Rate	Fee
Basic Fee				\$ 370	or		\$ 740
Total Claims	128 - 20 =	108	x 9 =	\$ 0	or	x 18 =	\$ 1,944
Indep. Claims	5 - 3 =	2	x42 =	\$ 0	or	x 84 =	\$ 168
<input type="checkbox"/> First Presentation of Multiple Dependent Claim			x140 =	\$ 0	or	x280 =	\$ 0
			Total	\$ 0	or	Total	\$ 2,852

The filing fee will be submitted in response to the Notice to File Missing Parts of Application.

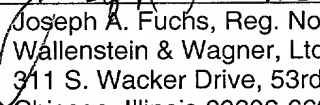
The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 23-0280. A duplicate copy of this sheet is attached.

- \_\_\_ Any additional filing fees under 37 C.F.R. § 1.16.
- \_\_\_ Any patent application processing fees under 37 C.F.R. § 1.17.

Respectfully submitted,

Date: December 12, 2001

By: \_\_\_\_\_

  
Joseph A. Fuchs, Reg. No. 34,604  
Wallenstein & Wagner, Ltd.  
311 S. Wacker Drive, 53rd Floor  
Chicago, Illinois 60606-6630  
312.554.3300

jlg/136590.1

2001 DEC 12 10 24 AM